



Dkt. 45990-ZAA

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Patentees: Wai Wong, et al.

U.S. Patent No: 6,777,426

Issue Date: August 17, 2004

Appln No.: 09/933,106

Filed: August 20, 2001

For: IMIDAZOLE AND IMIDAZOLINE DERIVATIVES AND USES  
THEREOF

**Certificate**  
**FEB 13 2006**  
**of Correction**

ATTN: Certificate of Correction Branch  
Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**COMMUNICATION FORWARDING REQUEST FOR CERTIFICATE OF CORRECTION**

Patentees request that a Certificate of Correction under 37 C.F.R. §1.322 and 37 C.F.R. §1.323 be issued in connection with the captioned patent to reflect the corrections listed below. Please find an original and one copy of Patent Office Form PTO/SB/44 attached hereto, indicating errors in the above-identified patent.

The errors to be corrected as listed on Form PTO/SB/44 are as follows:

1. column 26, line 20, "C<sub>14</sub>H<sub>33</sub>I N<sub>4</sub>" should read --C<sub>14</sub>H<sub>20</sub>N<sub>4</sub>--
2. column 27, line 56, "C<sub>13</sub><sub>19</sub>H<sub>5</sub>" should read --C<sub>13</sub>H<sub>19</sub>N<sub>5</sub>--
3. column 44, line 24, claim 1 "C<sub>2</sub>-C<sub>4</sub>" should read --C<sub>1</sub>-C<sub>4</sub>--
4. column 44, line 56, claim 4 "R<sub>3</sub>" should read --R<sub>3</sub>--
5. column 44, line 58, claim 5 "R<sub>2</sub>" should read --R<sub>2</sub>--
6. column 44, line 59, claim 6 "R<sub>2</sub>" should read --R<sub>2</sub>--

02/08/2006 BABRAHA1 00000016 503201 6777426

01 FC:1811 100.00 DA

**FEB 13 2006**

7. column. 44, line 64, claim 8 "R2" should read -- $\alpha_2$ --

### REMARKS

In light of the mistakes that appear in U.S. Patent No. 6,777,426, Patentees respectfully request that the Commissioner issue a Certificate of Correction under 37 C.F.R. §1.322 that provides for the correction of "a mistake in a patent, incurred through the fault of the Office, which mistake is clearly disclosed in the records of the Office" and under 37 C.F.R. §1.323 that provides for the correction of a "mistake of a clerical or typographical nature or of minor character which was not the fault of the Patent and Trademark Office".

The corrections noted in items 1-3 and 7 above are being made by the Patentees under 37 C.F.R. §1.322 in order to correct a clerical or typographical error or of minor character that was made by the Patent Office. Patentees note that claims 1 and 7 were amended on February 10, 2003 during the prosecution of the subject patent.

The corrections noted in items 4-6 above are being made by Patentees under 37 C.F.R. §1.323 in order to correct a clerical or typographical error or of minor character that was not made by the Patent Office.

Patentees maintain that the corrections noted in items 1-7 above are clerical or typographical nature or of minor character and do not contain any issue of new matter or require reexamination. Patentees further maintain that the mistakes noted in items 1-7 above were made in good faith and respectfully request that the Commissioner issue a Certificate of Correction under 37 C.F.R. §1.322 and §1.323.

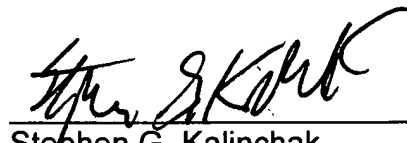
The fee for a Certificate of Correction issued under 37 C.F.R. §1.323 as set forth in 37 U.S.C. §1.20(a) is One Hundred Dollars (\$100.00) and authorization is hereby given to charge the amount of \$100.00 to Deposit Account No. 503201.

FEB 13 2006

Wai Wong, et al.  
U.S. Patent No. 6,777,426  
Issued: August 17, 2004  
Page 3

No fee, other than the \$100.00 fee, is deemed necessary in connection with the filing of this Communication. However, if any additional fee is required, authorization is hereby given to charge the amount of such additional fee to Deposit Account No. 503201.

Respectfully submitted,



---

Stephen G. Kalinchak  
Registration No. 38,747  
Lundbeck Research USA, Inc.  
215 College Road  
Paramus, New Jersey 07652  
(201) 261-1331 (phone)  
(201) 225-9571 (fax)

FEB 13 2006



Docket No.  
45990-ZAA

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Patentees: **Wai Wong, et al.**

U.S. Patent No: **6,777,426**

Issue Date: **August 17, 2004**

Application No: **09/933,106**

Filed: **August 20, 2001**

For: **IMIDAZOLE AND IMIDAZOLINE DERIVATIVES AND USES THEREOF**

**CERTIFICATE OF MAILING  
BY "FIRST CLASS MAIL" (37 C.F.R. § 1.8)**

Attention: Certificate of Corrections Branch  
Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

Sir:

I hereby certify that the following correspondence:

**Communication Forwarding Request for Certificate of Correction (3pgs); Certificate of Correction (PTO/SB/44)(1pg)(in duplicate); Fee Transmittal (PTO/SB/17)(1pg)(in duplicate); and Return Postcard**

is being deposited on **February 3, 2006** with the United States Postal Service as first class mail in an envelope bearing sufficient postage thereon and addressed to:

**Attention: Certificate of Corrections Branch  
Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450.**

**Kitae Lim**

(Typed Or Printed Name Of Person Mailing Correspondence)

(Signature Of Person Mailing Correspondence)

**FEB 13 2006**



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

**FEE TRANSMITTAL**  
**For FY 2005**☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) **100.00****Complete if Known**

Application Number	09/933,106 / USP 6,777,426
Filing Date	August 20, 2001
First Named Inventor	Wai Wong
Examiner Name	Venkataraman Balasubramanian
Art Unit	1624
Attorney Docket No.	45990-ZAA

**METHOD OF PAYMENT (check all that apply)**☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_☒ Deposit Account Deposit Account Number: 503201 Deposit Account Name: Lundbeck Research USA, Inc

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below☐ Charge fee(s) indicated below, **except for the filing fee**☒ Charge any additional fee(s) or underpayments of fee(s)  
under 37 CFR 1.16 and 1.17☒ Credit any overpayments**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES****Fee Description**

	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

**Total Claims**      **Extra Claims**      **Fee (\$)**      **Fee Paid (\$)**

\_\_\_\_\_ - 20 or HP = \_\_\_\_\_ x \_\_\_\_\_ = \_\_\_\_\_

HP = highest number of total claims paid for, if greater than 20.

**Indep. Claims**      **Extra Claims**      **Fee (\$)**      **Fee Paid (\$)**

\_\_\_\_\_ - 3 or HP = \_\_\_\_\_ x \_\_\_\_\_ = \_\_\_\_\_

HP = highest number of independent claims paid for, if greater than 3.

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

<b>Total Sheets</b>	<b>Extra Sheets</b>	<b>Number of each additional 50 or fraction thereof</b>	<b>Fee (\$)</b>	<b>Fee Paid (\$)</b>
_____	_____	_____ / 50 = _____ (round up to a whole number) x _____	_____	_____

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Certificate of Correction 1.20(a)**Fees Paid (\$)**  
100.00**SUBMITTED BY**

Signature	<u>Stephen G. Kalinchak</u>	Registration No. (Attorney/Agent) 38,747	Telephone 201-261-1331
Name (Print/Type)	Stephen G. Kalinchak	Date February 3, 2006	

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

FEB 13 2006

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 1 of 1

PATENT NO. : 6,777,426  
APPLICATION NO.: 09/933,106  
ISSUE DATE : August 17, 2004  
INVENTOR(S) : Wai Wong, et al.

It is certified that errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

column 26, line 20, " $C_{14}H_{33}N_4$ " should read -- $C_{14}H_{20}N_4$ --

column 27, line 56, " $C_{13}H_5$ " should read -- $C_{13}H_{19}N_5$ --

column 44, line 24, claim 1 " $C_2-C_4$ " should read -- $C_1-C_4$ --

column 44, line 56, claim 4 "R3" should read -- $R_3$ --

column 44, line 58, claim 5 "R2" should read -- $R_2$ --

column 44, line 59, claim 6 "R2" should read -- $R_2$ --

column 44, line 64, claim 8 "R2" should read -- $\alpha_2$ --

MAILING ADDRESS OF SENDER (Please do not use customer number below):

Lundbeck Research USA, Inc.  
215 College Road  
Paramus, NJ 07652

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

FEB 13 2006

**UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION**Page 1 of 1

PATENT NO. : 6,777,426  
APPLICATION NO.: 09/933,106  
ISSUE DATE : August 17, 2004  
INVENTOR(S) : Wai Wong, et al.

It is certified that errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

column 26, line 20, " $C_{14}H_{331}N_4$ " should read -- $C_{14}H_{20}N_4$ --

column 27, line 56, " $C_{1319}H_5$ " should read -- $C_{13}H_{19}N_5$ --

column 44, line 24, claim 1 " $C_2-C_4$ " should read -- $C_1-C_4$ --

column 44, line 56, claim 4 "R3" should read -- $R_3$ --

column 44, line 58, claim 5 "R2" should read -- $R_2$ --

column 44, line 59, claim 6 "R2" should read -- $R_2$ --

column 44, line 64, claim 8 "R2" should read -- $\alpha_2$ --

**MAILING ADDRESS OF SENDER (Please do not use customer number below):**

Lundbeck Research USA, Inc.  
215 College Road  
Paramus, NJ 07652

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

FEB 13 2006